

THE KANSAS ASSOCIATION OF  
STUDENT FINANCIAL AID ADMINISTRATORS



POLICIES AND PROCEDURES MANUAL

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## ASSOCIATION MISSION & PURPOSE

**As part of KASFAA's STRATEGIC LONG-RANGE PLAN 2015-2018 approved by the Board in February 2017, the Association's Mission and Purpose follows:**

### KASFAA Mission & Vision

**The Kansas Association of Student Financial Aid Administrators (KASFAA) is a nonprofit association of post-secondary institutions and agencies who are interested in promoting the effective administration of student financial aid in the State of Kansas.** The Association has sought to ensure access to post-secondary education for the many students of this state through a variety of efforts in professional development, information dissemination, legislative and regulatory analysis. KASFAA believes that competent and well informed agencies and institutional financial aid administrators are vitally important if students are to receive the financial assistance to which they are entitled as they pursue post-secondary education.

KASFAA recognizes the need to form a strong alliance of professionals committed to the understanding of federal regulations, professional development and growth, and inform the public of the changes in the delivery of Title IV and State of Kansas funding. The Association is concerned with the application process, congressional methodology and fair and equitable treatment of all professional judgment decisions. We are reminded of our charge to provide resources and services to all students attending post-secondary education, recognizing that college costs are rising while state and federal funding levels remain constant or decline. The Association is committed to providing training to its members to ensure that financial aid is properly administered to all students.

### PHILOSOPHY

The Kansas Association of Student Financial Aid Administrators ascribes to the general principle and purpose that student aid should provide financial resources to students who would otherwise be unable to pursue post-secondary education. The Association will adhere to the National Association of Student Financial Aid Administrators "Statement of Ethical Principles."

The Association is committed to the belief that no one should be denied access to post-secondary education because of a lack of family resources, or a lack of knowledge of the financial aid delivery system or application process. It is a goal of the Association to assist those seeking assistance through all Title IV regulations.

The Association is committed to addressing issues of diversity affecting the Association and the student populations served by its membership through the various committee functions. Part of the philosophy of the Association is to assist members with professional development opportunities at annual meetings. Our mission is to counsel, verify, assess need, determine and monitor eligibility, award aid, develop procedures, prepare budgets, report, ensure quality, and provide a work environment that stresses professional growth and development.

The Core Values and Purposes as defined in the KASFAA By-Laws serves as our Code of Ethics.

## KASFAA Objectives (Approved Feb 29, 2016)

- The Association will assist all members in the administration of financial aid funds management through training.
- The purpose of the regular annual meetings of the membership will be to provide an ongoing dialogue among members, and a meaningful exchange of ideas.
- KASFAA will update members on Title IV regulations and other professional issues through various means of communication.
- The Association will promote diversity awareness among its membership through the work of individual committees and conference sessions/speakers.
- KASFAA will foster and promote networking and volunteerism among its membership.
- The Association will conduct Counselor training to aid counselors in understanding the financial aid process and any changes enacted through congressional action.
- The Association will encourage its members to participate in all activities associated with financial aid awareness by participating in seminars, holding financial aid nights, making presentations and partnering with other professionals and organizations.
- The Association will promote financial aid awareness with the public as specifically designated by the Board of Directors.
- The Association will review and respond to legislative proposals affecting the membership and address appropriate issue resolutions which affect the delivery of funds to students, or issues facing the Association.
- The Association will attempt to influence the formation of legislation and regulations to better serve the public and the financial aid community.
- KASFAA will annually review the structure and duties of its officers, committees and meetings for relevance, effectiveness and efficiency.
- KASFAA will annually review its financial policies and structures to protect the future of the association and ensure fiscal health.

## ASSOCIATION POLICIES AND PROCEDURES

This Policy and Procedures Manual defines general policies of the Association. It is intended as a guide to the officers and committee chairpersons for the effective administration of the activities of the Association. This manual also provides the necessary information about the Association Governance to the General Membership.

This manual shall be reviewed annually and updated as necessary to insure that policies and procedures are consistent with current practices and regulations. Updates and amendments other than those which are editorial in nature will be reviewed by the Association Governance committee and then forwarded for approval by the Board of Directors prior to publication. Editorial updates are those that correct errors in grammar, change formatting (i.e. font size/type), or change wording to provide consistency within the document.

## GENERAL POLICIES AND PROCEDURES

### Association Funds

#### *Funds Management*

The Treasurer will keep the Association funds in an interest-bearing, insured account with reasonable fees that are the most advantageous to the Association. The Association will maintain a reasonable balance for cash flow. The Board of Directors will be responsible for determining an amount for a reasonable balance.

Accurate records will be kept reflecting the income and expenses of the Association. Bills will be paid by invoice, cash receipt, Association credit card, and/or a Reimbursement of Expense Voucher. Questionable bills must be submitted to the Board of Directors for approval.

Unbudgeted reimbursement requests for more than \$500 must be submitted to the Board of Directors for approval.

The bank statements will be reviewed monthly by the Chair of Finance Committee. The review should be complete before the next month's process begins. Discrepancies will be brought to the attention of the Board of Directors.

The Treasurer's books will be reviewed annually at the close of the fiscal year. An internal review will be conducted each year that an external review is not conducted. The Board of Directors will appoint at least two members of the Association, one being the Associate Member Representative and one being a member of the Finance Committee to conduct the review. They will in turn submit their findings by written report to the Board of Directors.

An external review will be conducted at the request of the Board of Directors.

Any check in an amount over \$500 will be signed by the Treasurer and one other authorized officer, the President, Past President, President Elect, or other member designated by the Board. No check will be made payable to Cash or Tender. Any check made payable to the Treasurer will be signed by another authorized officer.

The Association shall maintain a separate account and financial statements for the purpose of planning, recording and tracking expenses related to activities surrounding FAFSA completion events. This account has historically been named the College Goal Sunday account to align with the annual event of the same name until that event (and the name) was disbanded in 2015. Keeping FAFSA completion event expenses separate helps facilitate the receipt of grant funding. In the interim between the elimination of the College Goal Sunday event and the creation of a new national effort, this account shall remain under its current name and the funds will be available to the KASFAA Outreach Training committee.

#### *Expense Reimbursement*

All expense reimbursements will be approved by the committee chair or an officer prior to being paid. Car expense reimbursements will be paid at the prevailing IRS rate.

The President Elect, President, and Past President have travel expenses associated with fulfilling the obligations of their offices. Generally, conference travel specified below includes registration fee, airline tickets, baggage fees, parking, travel to airport/hotel, hotel, and meals not covered at the conference. Additional expenses not referenced below may be reimbursed with the approval of the Board of Directors.

- a) President Elect: NASFAA Leadership Conference
- b) President: NASFAA Annual Conference, RMASFAA Annual Conference, State Gift for RMASFAA (Each state President presents a gift at the RMASFAA conference to be given away as a door prize during the conference (approximate value \$75 - \$100).
- c) Past-President: The Past President serves as the state delegate during the initial board meetings at the RMASFAA Annual Conference. KASFAA covers costs for the state delegate to attend the Fall RMASFAA Board Meeting (RMASFAA will cover the cost of the hotel room for the Board Meeting; KASFAA generally covers travel and other related cost). Any costs not related to the Fall RMASFAA Board Meeting are incurred at the member school's costs (KASFAA does not pay for the Past-President to attend the RMASFAA Conference). RMASFAA is responsible for covering costs associated with other board meetings not held in conjunction with the annual conference.

Board Meetings: There is a separate line item in the budget for expenses related to having KASFAA board meetings, including items such as parking passes, room rental, and meals/snacks.

Free rooms granted by a conference site facility, will be utilized first by a conference presenter for whom KASFAA is responsible for housing, then the President of the Association, and then the President-Elect, in that order.

Presenters from outside the Association will be reimbursed for necessary expenses which may include travel, meals, and/or housing. Members of the Association and/or Department of Education personnel will not be reimbursed.

Honorariums or consultant fees will be paid by the Association to presenters whom the Conference Planning Committee deems appropriate for participation at a KASFAA meeting.

#### *Association Credit Cards*

Corporate credit cards under the name of KASFAA are available for use by the President, President-Elect, Past President, Treasurer, current Conference Planning Chair and the Chair of the Summer Institute Committee.

- The Treasurer shall arrange with the card provider for the issuance of a corporate credit card to each authorized individual for use at the beginning of that individual's term of office.
- Credit card users shall promptly submit all charge slips to the Treasurer with an explanation of the charges. The monthly credit card bill will be sent to and paid by the Treasurer. All bills will be paid in full each month.
- The corporate credit card must be returned to the Treasurer and canceled by the Treasurer no later than two months following the end of the term of service of the cardholder.

#### *Conference Registration Fees*

Institutional members and Associate members in good standing will pay the full conference registration. Full payment applies regardless of in-kind services rendered in connection with the conference. Non-members will pay a higher rate as established by the Board of Directors annually. Member and non-member presenters who are not attending other conference sessions or activities are not responsible for paying a registration fee. Exceptions to these rules can be made with approval necessary from the Chairperson of the Conference Planning Committee.

#### *KASFAA Training/Conference Cancellation/Refund Policy*

1. All requests for cancellations/refunds shall be in writing to the Treasurer
2. A 100% refund shall be granted if the request is postmarked at least 5 BUSINESS days prior to the start of the activity. The Treasurer shall confirm that the fee was received and issue the refund.
3. Exceptions for extenuating circumstances to item #2 may be granted upon approval of the Treasurer, Vice President, and President. Decisions will be made on a case-by-case basis and may result in a partial or full refund. The decision of the three officers is final.
4. The Registration Cancellation/Refund Policy shall be published in all relevant association conference/training materials, including the online Registration Form and Training/Conference Program.



### *Budget Guidelines*

Membership fee structure for all member categories is set by the Board of Directors at their first budget meeting and adopted upon approval of the annual budget.

### *Conference Contracts*

Because of the expensive nature of conferences, contracts will be sought a minimum of three years in advance to ensure the best bargaining position and hotel availability. When at all possible, the selection of sites and hotels shall follow the schedule outlined below:

Conference/Training Year	Site Proposal	Hotel Contract
2018 – Fall Training	2016 Summer Board Meeting	2016 Fall Board Meeting
2019 - Spring Conference	2016 Fall Board Meeting	2017 Winter Board Meeting
2019 - Fall Training	2017 Summer Board Meeting	2017 Fall Board Mtg (RMASFAA)
2020 - Spring Conference	2017 Fall Board Mtg (RMASFAA)	2018 Winter Board Meeting
2020 - Fall Training	2018 Summer Board Meeting	2018 Fall Board Meeting
2021 - Spring Conference	2018 Fall Board Meeting	2019 Winter Board Meeting
2021 - Fall Training	2019 Summer Board Meeting	2019 Fall Board Meeting
2022 - Spring Conference	2019 Fall Board Meeting	2020 Winter Board Meeting
2022 - Fall Training	2020 Summer Board Meeting	2020 Fall Board Meeting
2023 - Spring Conference	2020 Fall Board Meeting	2020 Winter Board Meeting
*Every 5 <sup>th</sup> year, one KASFAA business meeting will be held in a far western Kansas location.		

Site Proposal: Provide for the Board a well-reasoned argument for two cities proposed as potential conference sites in order to ensure competition for hotel contract negotiations. Include considerations for hotel availability and convenience to membership.

Hotel Contract: Provide a minimum of two hotel contracts per event to consider (if possible). Prior to presenting the contracts at the board meeting, have them reviewed by the Association Governance Committee during the summer board meeting.

### *Conference Fundraisers*

It has been customary to hold an annual conference fundraiser as part of the entertainment during the spring conference. This event is typically planned and organized by the Corporate Development committee. Recipients of the proceeds are identified and approved by the KASFAA board of directors.

Proceeds may be given to a charitable program. This is typically an organization that is located in the community in which the conference is held. To comply with federal standards for non-profit, charitable organizations, the mission and goals of the recipient of the funds must align with KASFAA's mission and goals. The request for approval must contain an explanation of how

the recipient meets this standard. The award amount is to be determined in consultation with the KASFAA executive board and the recipient invited to receive the funds on the final day of the conference.

Proceeds from the event have also been used to increase the RMASFAA Summer Institute scholarships given by KASFAA. The number of additional scholarships depends on the amount raised.

Finally, proceeds from the event have also been awarded in the form of a need-based scholarship to be given to an enrolled student at a school or schools selected from a random drawing of current institutional members or as designated by the Board of Directors.

The criteria for determining how many schools are selected is contingent on the amount of money raised and is distributed as illustrated in the chart below:

\$0 - \$499	One School
\$500 - \$999	Two Schools
\$1000 - \$1499	Three Schools
\$1500 - \$1999	Four Schools
\$2000 - \$2499	Five Schools
\$2500 - \$2599	Six Schools

Additionally, the following rules for need-based scholarships apply:

- The money collected will be divided equally among the schools selected
- A school is not eligible to receive the scholarship two years in a row, but will be eligible the following years.

### *Corporate Sponsorships*

The Corporate Development committee is in charge of soliciting annual sponsorships from organizations wishing to make a donation to support the work of the KASFAA organization.

### General Funds Support

A contribution to the general fund supports the following KASFAA initiatives – KASFAA Fall Training, KASFAA Annual Spring Conference, High School Workshop Training and other training activities as well as general operating functions. Additional support will be recognized by the organization in the annual spring conference program.

### Exhibit Opportunities

A contribution for exhibiting at the annual spring conference reserves booth space for your organization and promotional materials at the annual spring conference. All exhibitors must abide by the following conference exhibit policies:

### *KASFAA Conference Code of Conduct:*

- 1) Exhibitors shall not provide any gift or give-away items of more than nominal value (i.e. less than \$10 fair market value).
- 2) Exhibitors may not offer conference participants the opportunity to sign up for or enroll in any contests or to receive gifts or giveaways at a later time in excess of the stated nominal value.
- 3) Only exhibitors with paid exhibitor fees will be allowed to set up a booth at the conference.
- 4) Booths are randomly assigned prior to the conference. Every consideration is given to provide exhibitors with prime locations and visibility.

### Corporate Support Amounts

General funds support can be of any amount as determined by the donor. Exhibitor fees are set annually as part of the budgeting process. Traditionally a flat, exhibitor rate is charged with a discounted rate charged to members of the association and for early registration. Exhibitors must also register and pay for conference attendance in addition to paying the exhibitor fee.

### *Scholarships*

KASFAA has traditionally provided four full registration scholarships for KASFAA members to attend RMASFAA Summer Institute training in the summer months. Applications for the scholarship are posted online and available in September annually. The opportunity is advertised annually at the October conference and due in January prior to the annual winter board meeting. Winners are selected in February and recognized at the April conference. Winners are asked to contribute back to KASFAA as payment for their selection by volunteering for KASFAA board service, committee service, and/or presenting at fall training or the annual spring conference.

### Elections

Election of officers of the Association will be coordinated and implemented by the Association Governance Committee. It is an institutional responsibility to make certain that any individuals otherwise eligible to vote (see definition in the by-laws) are included on the membership master list prior to the election. Any person not included on the membership master list at that time may not vote in the election.

Typically, there will be a single on-line ballot available on the KASFAA website. The Association Governance Committee will conduct an election by soliciting possible candidates from the membership within 60 days prior to the regular fall meeting. The slate of candidates, including biographical information and pictures, will be posted on [www.kasfaa.org](http://www.kasfaa.org) within 30 days prior to the fall meeting. The online ballot should also be provided within 30 days prior to the fall

meeting The Association Governance Committee Chair will review the election results and announce the outcome of the election at the fall meeting.

If it isn't possible to have the ballot available online, a paper ballot will be distributed at the fall business meeting.

Newly elected officers will begin working with current officers immediately following the announcement of the election results to ensure a seamless transition.

#### *Removal of a KASFAA Executive Board Member or KASFAA Board Member*

The board of directors may remove a director who:

- Has been declared of unsound mind by a final order of court;
- Has been convicted of a felony;
- Has missed a number of board meetings; or,
- Does not satisfy at the time any of the qualifications for office or board of directors as set forth in the bylaws or policies and procedures, if the decision that the director fails to satisfy a qualification is made by the vote of a majority of the directors who meet all of the required qualifications.

Except as otherwise provided in the bylaws or policies and procedures, an officer or committee member who is appointed by persons other than the membership may be removed with or without cause by those persons.

## Electronics and Social Media

### *KASFAA Website*

#### *Oversite & Procedure for Updating the Website*

The Electronic Resources committee serves as the main point of contact between KASFAA and the KASFAA website provider. It is assumed by KASFAA that each committee chair and each officer is responsible for the information on the website relating to their committee and/or office. Before submitting changes to the KASFAA website, the KASFAA Executive Board and Electronic Resources chair should be consulted. Emails to change information on the website should be sent directly to the website provider with a copy to the Electronic Resources Chair and Co-Chair as a courtesy. The procedure for updating committee handbooks on the web is included in the committee handbook.

#### *Website Provider*

KASFAA's website provider is housed and maintained by ATAC Corporation Internet Strategy & Design ([www.ataccorp.com](http://www.ataccorp.com)). ATAC is a nationally recognized developer and provider of online membership management software, website design services and custom support options for

membership based organizations (Financial Aid Administrator Associations, Admission Counselors and Registrars, Student Employment Organizations and other related educational entities.) KASFAA's contact is: Paula Gordon ([pgordon@ataccorp.com](mailto:pgordon@ataccorp.com))

#### *Address and Password*

Current URL: [www.KASFAA.org](http://www.KASFAA.org). User rights are annually assigned by the Chair of the Electronic Resources committee following officer installation and committee transition at the annual Spring Conference and not before. The Electronic Resources Committee Handbook outlines these user rights and permissions. Users use their unique membership username and password to enter and manage their authorized area of the website.

#### *Social Media Channels*

The following channels will be used to promote KASFAA News:

##### *KASFAA Blog*

###### *Purpose*

The blog is utilized to post articles, updates, and events that are not appropriate for Facebook. Articles for the blog will include but are not limited to officer and committee reports, committee articles, member articles, and institution updates.

###### *Oversite*

Administrators of the blog will consist of the Association News & Publicity Chair and Co-Chair.

###### *Address and Password*

The blog is currently operated by WordPress.com and located at <https://kasfaa.wordpress.com/>. Passwords for blog access are maintained by the Association News & Publicity committee chair and co-chair, shared with the President, President-Elect and Past President and should be updated each time the committee changes leadership.

###### *Article Submission Guidelines*

- Articles must not promote a specific lender, service, or product for sales that benefits a specific member of KASFAA.
- Articles must not promote a specific institution for the purpose of sales, marketing, or recruitment for any institution, member, or non-member of KASFAA.
- Articles may be signed by the writer and specify the institution/industry of the writer.
  - Articles may have writer contact information and website but cannot promote products and services in anyway on article.

- Any articles that do not meet the submission requirements will be edited before being published.
- Any articles that do not meet submission requirements and cannot be edited will not be published and writer will be notified.

### [KASFAA Listservs](#)

#### *Purpose*

KASFAA-L, BOD-L, FiscalOfficer-L, and AssociateMember-L are a non-commercial lists created to provide an electronic forum for KASFAA members and affinity groups to discuss issues and share information.

- **KASFAA-L** is also used to push urgent association news that must be immediately disclosed to the membership. It is the forum for KASFAA members in good standing to post questions or to send institutional announcements.
- **BOD-L** is a closed list for the KASFAA Board of Directors and committee chairs to use to discuss issues before they are presented to the membership at large. New board members are subscribed to the list serve in April upon taking his/her position on the board.
- **FiscalOfficers-L** is an affinity group for the fiscal officers who are members of the association. Members may subscribe themselves through their membership settings.
- **AssociateMember-L** is an affinity group for the members of the association who fall into the associate member category. Members may subscribe themselves through their membership settings.

#### *Oversite*

The open nature of these communication channels do not lend themselves to controlled oversight. The Chair and Co-Chair of the Association News & Publicity committee will monitor posts to ensure appropriateness and to curb abuse. Emails will also be monitored for potential inclusion on other social media outlets.

#### *Address and Password*

The listservs are available to all KASFAA members in good standing and linked to the Member Services page of the website. The listservs are currently maintained by the website provider.

#### *General Guidelines*

- Subscriptions to all listservs are limited to KASFAA members
- Subscribers can post a message to the listservs without prior review or approval.
- The Association News & Publicity committee monitors the lists for appropriate use and makes recommendations to the KASFAA Board of Directors if inappropriate use is detected.
- At the Board's request, the committee will remove a subscriber from any listserv for initiating or forwarding any inappropriate messages.

## Facebook, Twitter and YouTube

### *Purpose*

The goal of social media channels is to connect members with other members of KASFAA. The channels will be used to promote KASFAA member events, news, and updates.

All content posted to social media sites must meet these requirements:

1. Be professional of nature and related to KASFAA and the mission of KASFAA.
2. Does not promote any type of sales or services offered by members or nonmembers of KASFAA.
3. Does not discriminate against race, color, national or ethnic origin, sex, disability, or age.
4. Does not include profanity or offensive language.

### *Facebook: KASFAA-Kansas*

Facebook is used to post membership updates, pictures, links, and any other news that doesn't contain sensitive information. Sensitive information will be kept to the Listserv. **Sensitive information is defined as ??????????**

KASFAA-Kansas Facebook page is open and viewable to the public and all members of KASFAA. It is located on Facebook as: KASFAA-Kansas

The Association News & Publicity Chair and Co-Chair serves as administrators and managers of the Facebook page. Administrators and managers of the page will be added via their personal Facebook login. If neither the chair nor co-chair have a Facebook account, a committee member with a Facebook login will be designated as the administrator of the page.

### *YouTube*

YouTube is used to enable postings of videos taken at Trainings and Conferences. Videos will be uploaded to YouTube and set as "unlisted". Members and public will only be able to view videos by clicking the link that is published on the website, blog and other social media sites.

A gmail account, kasfaa.ks@gmail.com, exists solely for social media purposes. The Association News & Publicity committee chair and co-chair serve as administrators of the account.

Passwords for the email are maintained by the Association News & Publicity committee chair and co-chair and shared with the President, President-Elect and Past President. Passwords should be updated each time the committee changes leadership. The email is not to be used for correspondence of any kind. The address must be monitored occasionally to ensure it has not been compromised.

### *Twitter*

Twitter is used to post real-time updates by approved KASFAA members in attendance at regional and national events.

KASFAA's Twitter page is open and viewable to the public and all members of KASFAA and is located at: [www.twitter.com/KASFAAKANSAS](http://www.twitter.com/KASFAAKANSAS). The gmail account is also used for access to the KASFAA Twitter account.

## Membership Activities

KASFAA encourages every member of the Association to actively participate in the Association at all levels to enhance the organization itself, and provide for their own personal and professional growth. To achieve this, every effort is made to institute a broad base of institutional representation throughout the officer nominations and committee structures. The Association also strives to assist members in active participation in the regional and national associations of student financial aid for those same reasons. Regional and national representation enhance and strengthen the state association, and provide a broader avenue for flow of information to the membership at large and the constituents the Association serves.

KASFAA-sponsored events, such as high school counselor training or other similar event, are NOT to be held in conjunction with school recruiting events. It is important to the integrity of the Association that it not be associated with school-sponsored, or recruiting, activities.

## Awards

KASFAA recognizes individuals who have provided voluntary service or made significant contributions towards the aims and ideals of the financial aid profession in KASFAA. Any member of the association may make nominations for awards. Generally, the KASFAA Awards Banquet will be held in conjunction with the annual Spring Conference. Following is a list of the current, approved awards. The Board of Directors must approve any other recognition.

Length of Service Awards will be presented to members and associate members who have maintained paid membership in KASFAA for 10, 15, 20 and each five years thereafter. A start date between January 1 to December 31 counts as a full year of service for the purpose of the Length of Service Award. In addition, the members' institution must be a current paid member of KASFAA. The Awards Committee Chair will submit a list of members and associate members to the Board for their consideration at the **winter board meeting**. In case of questions about start dates, the Awards Committee Chair will contact the member in question and is authorized to make decisions on award eligibility. Consultation with the Awards Committee members in these cases is encouraged.

Retirement Recognition is given to members who have officially retired from their place of employment, the profession and their institution. They must have 10 years of service or more active participation in KASFAA. The Awards Committee Chair will report the names of those who appear to be eligible for retirement to the Board at the winter meeting. Questions, if any will be handled in the same manner as described above. The retirement gift shall be determined by the Board of Directors. Those recognized upon their retirement should be kept



on the KASFAA mailing list and are welcome to attend meetings or participate in KASFAA activities as though they were still paying membership dues.

Deborah Byers Outstanding Service Award is presented to recognize the outstanding contribution of an individual in the field of financial aid through contributions to KASFAA. The Board may select one member or associate member each year by secret ballot at the **winter board meeting**. Nominations are requested from the membership and can also come from the Board. A person is eligible to receive this award only once. A plaque is awarded at the KASFAA Awards Banquet.

Outgoing President's Plaque – the Awards Committee will provide a plaque in recognition of the outgoing KASFAA President to be presented by the incoming President at the Awards Banquet.

Meritorious Achievement Award is presented to an individual who has made an important contribution to KASFAA or to the financial aid profession that KASFAA wishes to recognize. To receive a “Meritorious Achievement Award”, the individual should have made either an important single contribution or significant multiple contributions to KASFAA or the profession. The individual does not have to be a member of KASFAA. The purpose of this award is to encourage members to become involved in carrying out the mission of the Association and to encourage continued individual support to the financial aid profession. This award would not necessarily be an annual award. It would be awarded at the Spring Conference in the year in which it was awarded. Anyone in the Association may nominate an individual for this award. The Awards Committee will solicit nominations from the membership through its regular process of identifying others to be recognized at the Spring Conference. Since this is a prestigious award, it should be submitted to the KASFAA Board of Directors for approval. Any individual selected should not be told of this award. It should not be announced publicly until the Awards Banquet at the Spring Conference.

The Hall of Fame Award is the highest award made by KASFAA. The Awards Committee will solicit nominations from the membership through its regular process for other awards. The Board of Directors chooses the award recipient(s) at the winter meeting. It is not mandated that this award be given each year. The decision of the Board will remain secret until the Awards Banquet at the spring meeting. This award can be given to any person who has contributed significantly to the financial aid profession for a minimum of fifteen years. The award is presented at the Spring Conference.

Committee of the Year Award is selected each year by the KASFAA President who recognizes them at the annual Awards Banquet.

Special Recognition Award was established to recognize individuals who have left the association and/or profession and are not a current year recipient of a distinguished KASFAA award. It was designed to recognize individuals for their service to KASFAA. The Awards Committee Chair will report the names of those who appear to be eligible for recognition to the

Board at the winter meeting. The Board of Directors can add additional names to the list. Recipient(s) of this special recognition will be at the recommendation of the Executive Board.

## Special Committees

The KASFAA bylaws allows for the creation of special committees for the purpose of promoting the purposes of the association or to carry out a specific function of the association. When proposing the creation of a special committee, the following items are to be defined, written and maintained as the committee charter, and presented to the board for approval:

- Purpose of the Special Committee
- Committee composition
- Expectation of Service (including any required travel)
- Beginning and ending dates
- Budget allotted for work
- Required reporting periods
- Measurable outcomes

If approved, special committee charters will be appended to the Policy and Procedure Manual shortly following their approval.

## OFFICER RESPONSIBILITIES

### General

By virtue of holding office, all officers are expected to conform to the following items:

- Attend all scheduled Board of Directors Meetings
- Attend all Association Business Meetings
- Submit written reports for all meetings
- Copy committee co-chairs on correspondence to full board or copy applicable committee co-chair when communicating to individual committees.
- Submit properly completed Reimbursement Forms
- Other duties as assigned by the President

### President

In addition to those duties outlined in the by-laws and general officer duties in this document, the President shall:

- Solicit and assign volunteers to all KASFAA committees.
- Select co-chairs in coordination with outgoing committee chairs and President-Elect.
- Be a member of the Board of Directors of the Rocky Mountain Association of Student Financial Aid Administrators (RMASFAA) and attend all RMASFAA board meetings.
- Attend the RMASFAA annual conference

- Attend the National Association of Student Financial Aid Administrators (NASFAA) annual meeting.
- Administer the annual KASFAA Summer Institute Scholarship application process according to policies located in the association funds section of this manual.
- Present to the Board proposals for future conference locations according to schedule located in policies regarding association funds and conference contracts.

### President-Elect

In addition to those duties outlined in the by-laws and general officer duties in this document, the President-Elect shall:

- Chair the Conference Planning Committee with responsibility of planning and coordination of KASFAA Conferences during his/her term of office (Refer to the conference planning committee information and handbook.)
- Assign co-chairs to all committees in coordination with President and committee chairs.

### Vice-President

In addition to those duties outlined in the by-laws and general officer duties in this document, the Vice President shall:

- Co-chair the conference planning committee (Refer to Conference Planning information and handbook.)

### Secretary

In addition to those duties outlined in the by-laws and general officer duties in this document, the Secretary shall:

- Record the minutes of each of the Board Meetings (including the Consent Agenda and any Action Items)
- Record the minutes of each of the Business Meetings
- Send the minutes of all meetings to ERC to be posted on the KASFAA website. Minutes from one meeting do not require approval at the following meeting but the Secretary will ask for any corrections from those present and make changes as needed.
- Keep a supply of KASFAA letterhead and thank you cards and offer those to any Board Member or Committee Chair to use as needed.
- Send official KASFAA correspondence to third parties at the request of Board.

### Treasurer

In addition to those duties outlined in the by-laws and general officer duties in this document, the Treasurer shall:

- Be a member of the Conference Planning Committee
- Submit non-profit report to Secretary of State
- Have prepared and submit non-profit Corporate Tax Return
- Pay the Association liability insurance annually
- Distribute written income/expense summaries at each Board meeting

- Refer to Funds Management section for further responsibilities
- Update the Expense Reimbursement form as needed to match the IRS standard mileage rate for business

### Associate Member Representative

In addition to those duties outlined in the by-laws and general officer duties in this document, the Treasurer shall:

- Serve as a voting Board Member representing the interests and perspectives of the associate membership
- Communicate Board plans and activities to the Associate Members
- Serve as a contact person for the Associate Membership requesting information concerning KASFAA activities
- Keep the KASFAA President informed of Associate Membership actions or decisions that may impact the state
- Serve as a member of the Corporate Support Committee
- Send out attendee list to Associate Members two weeks prior to Spring Conference. Work with Corporate Development Chair to ensure this gets done.
- Serve as Moderator of the Vendor breakout sessions at the KASFAA conferences
- Serve in a position to evaluate the informal review of the Treasurer's books
- Support and promote good will between the Association and the Associate Members

### Past President

In addition to those duties outlined in the by-laws and general officer duties in this document, the Treasurer shall:

- Chair the Association Governance Committee and call AG Meetings as needed (Refer to committee information and handbook for Association Governance.)
- Serve as a voting member of the Board of Directors

## STANDING COMMITTEES

### General

- All committees, with the exception of the Association Governance Committee, will consist of a chair, co-chair, and volunteers from the association. The co-chair is selected by the president-elect in consultation with the committee chair. The co-chair is expected to become chair of the committee for the subsequent year and, in the event that the committee chair is unable to fulfill their duties for the entire year, the co-chair will step up to serve as chair for the remainder of the term.
- Committee chairs are responsible for copying committee co-chair on all communications for the purpose of information and training.
- All committee chairs have an opportunity to suggest budgetary items for consideration to the BOD at the budget planning meeting held in late spring or early summer.

- All committees have the responsibility for maintaining a record of all activities undertaken, including a timeline by which said activities must be implemented and/or completed in order to facilitate accomplishment of committee objectives.
- All committees have the responsibility of submitting a written report at each Board of Directors' meeting, which summarizes the committee activities to that point in time. The report is also presented at the business meeting to the general membership. The final report will include an evaluation of the committee for the year, and recommendations for committee use.
- Travel expenses associated with fulfilling committee responsibilities are not covered by the Association. KASFAA recommends that you request permission from your institution or supervisor to volunteer in order to obtain support for your involvement.

## Archive Committee

### Purpose

The Archive Committee is responsible for managing the collection and storage of historical and financial documents. See Addendum 2 for specific details. (Archive Periodic Items, Archive Permanent Items, and Archive Box Contents). The assignment of duties and responsibilities within this standing committee will be at the discretion of the chair and co-chair.

### Responsibilities

The primary responsibilities of this committee include:

- Review and follow the archive guidelines in the appendices of the KASFAA Policies and Procedures.
- Make recommendations for updates to guidelines when necessary.
- Maintain inventory of all archived items.
- Manage storage site of all archived items.
- Destroy archived items according to KASFAA Policies and Procedure guidelines.

### Composition

The Archive committee consists of a chair, a co-chair, and a recommended minimum of 3 additional members. Committee members may be selected from the associate sector or institutional membership. Meetings are typically accomplished via email and conference call.

### Service Expectation

Committee leadership shall be for two years in duration – one year serving as co-chair of the committee and the second year as chair. All other members serve one year. The chair is expected to attend all meetings of the KASFAA Board of Directors as well as the Fall Training and annual Spring Conference business meetings.

## Association Governance Committee

### Purpose

This committee is responsible for conducting the nomination and election of officers and reviewing and updating the Association Bylaws and Policy and Procedures.

### Responsibilities

The primary responsibilities of this committee include:

- Annually review the Association by-laws and Policies and Procedures Manual.
- Propose any changes to either document as necessary to keep both documents current with the needs of the Association.
- Present by-law change proposals as directed by Board of Directors.
- Certify that any by-law changes are in accordance with state and/or federal regulations governing that Association's corporate status.
- Conduct the nomination and election process for officers of the Association.
  - Solicit nominations for election of officers from membership.
  - A ballot for election of officers ideally includes a full slate of candidates for each vacant office.
  - Collect and publish information about each nominee before elections.
  - Coordinate and implement election (refer to Election section) Typically, votes should be collected via an online ballot on the KASFAA website. If paper ballots are utilized, maintain the ballots/election results for a period of one year following the election; then request Board of Directors' approval to destroy the ballots/election results.

### Composition

Membership of this committee shall consist of the president, president-elect, and the three immediate past presidents. The past president shall serve as committee chair. Meetings are typically accomplished via email and conference call.

### Service Expectation

Committee leadership shall be for one year in duration. The chair is expected to attend all meetings of the KASFAA Board of Directors as well as the Fall Training and annual Spring Conference business meetings. Service on this committee is expected while the member remains in the profession and in the state.

## Association News & Publicity Committee

### Purpose

The Association News & Publicity committee is responsible for the distribution of the news and activities of the association. The committee will publish articles written by committee members as well as those received by other association members. Additional responsibilities include the coordination of activities, projects or workshops that disseminate information

pertinent to public interest regarding federal and state financial aid programs. The Chair will act as Editor and Co-Chair will act as Co-Editor of all items published through multiple channels.

All articles received will be reviewed and edited to ensure they meet the required guidelines for article submission. Any articles not meeting guidelines will be edited. If an article does not meet guidelines and cannot be edited to meet guidelines, the submitter will be notified with an explanation of guidelines. Articles and submissions will be published as they are received.

### Responsibilities

The assignment of duties and responsibilities within this standing committee will be at the discretion of the Chair. The primary responsibilities of this committee include:

- Post a minimum of four articles on the KASFAA blog. Articles are to specifically include at least two member profiles.
- Regularly survey the membership for membership updates of both a personal and professional nature.
- Annually review the Electronics & Social Media guidelines in the policies and procedures recommending changes, if necessary, for board approval.
- Lead association effort to publicize and celebrate Financial Aid Awareness month (February).
- Lead association efforts to publicize and celebrate Financial Aid Professionals Day in October.

### Composition

The Association News and Publicity committee consists of a Chair, a co-chair and a minimum of 5 committee members. When possible, every effort should be made to have a representative on this committee of each sector of the membership (associate member, private 4-Year, public 4-year, proprietary, vocational, etc)

### Service Expectation

Committee leadership shall be two years in duration – one year serving as co-chair of the committee and the second year as chair. All other members serve one year. The Chair of the committee is expected to attend all KASFAA Board meetings as well as the business meetings held at the fall training and spring conference. Members are expected to attend meetings called at the discretion of the committee chair to coordinate work. These meetings are typically accomplished via conference call.

## FAFSA Initiatives Task Force (Formerly College Goal Sunday Committee)

(This committee is set to complete its work in April 2017)

The College Goal Sunday Committee will:

- Secure adequate funding for the CGS project via grant requests in coordination with the Board of Directors.
- Set the date for College Goal Sunday with Board of Directors approval.

- Market the College Goal Sunday event using media, promotional materials shared with high school guidance counselors, outreach to entities sharing a common interest in FAFSA completion and through the KASFAA membership and their contacts.
- Ensure the Kansas College Goal Sunday website is updated.
- Secure site locations and ensure that site coordinators follow procedures required to perpetuate the receipt of grant funding.
- Annually review and update the online Kansas FACT page online
- Annual review and update if necessary the Jr/Sr Checklist Calendar available for download online.
- Coordinate outreach to various constituencies (high school guidance counselors, students and parents) to assist them with understanding the financial aid process in a variety of ways:
  - Prepare a variety of financial aid related articles for distribution to high school guidance counselors for use with their students and parents via the KASFAA website, via email distribution and/or through the annual KASFAA Fall Counselor Training events.
  - Evaluate on an annual basis whether there are resources available to reach out to constituents other than guidance counselors, TRIO counselors, students and parents (at either junior or senior high level)
  - Review, update, delete and/or add new publications and materials to the KASFAA website, in conjunction with the Electronic Resources Committee Chair

## Conference Planning Committee

### Purpose

The Conference Planning Committee is responsible for the planning and execution of Association conferences and annual awards ceremony at the Spring Conference. The President-Elect shall chair this committee, with the Vice President serving as co-chair. The assignment of duties and responsibilities within this standing committee will be at the discretion of the President-Elect and Vice President. It is recommended that the following subcommittees be created: Awards, Entertainment & Decorations, Philanthropy, Program, Registration and Site.

### Responsibilities

The primary responsibilities of this committee include:

- Review conference planning, financial and corporate sponsorship policies as well as the Conference Planning Handbook.
- Review and adhere to established Fall Training and Spring Conference contracts.
- Construct a budget within the resources approved by the Board.
- Coordinate with all other KASFAA Committees as needed.
- Maintain, update and execute conference planning agenda timeline
- Develop Fall Training and Spring Conference agenda and program. Program should contain a track for training new aid administrators (neophytes) and utilize, when possible, available credential training.



- Obtain nominations for Deborah Byers Outstanding Service, Meritorious Achievement, Hall of Fame, and Special Recognition awards and present those nominations to the Board.
- Organize and coordinate annual awards ceremony and purchase all awards including service recognition awards.
- Coordinate Fall Training and Spring Conference publicity and social media campaigns
- Develop, distribute and collect conference registration materials and fees
- Conduct on-site conference registration
- Represent Kansas on the RMAFSA Conference Program committee.
- Assist with transition of new committee leadership at the end of the year.

### Composition

The conference program committee consists of a chair (traditionally the President-Elect), a Co-Chair (traditionally the Vice President) and a recommended minimum of 10 additional members. Traditionally the committee includes at least one representative from the following other KASFAA committees: Corporate Development, Electronic Resources, Fiscal Officers and Membership. It is strongly recommended that two members of the committee should reside or work near or in the town where the Fall Training and the Spring Conference are located.

### Service Expectation

With the exception of the Committee Chair, all members of this committee serve one year. Members of this committee are expected to attend any planning meetings as directed by the chair and co-chair of the committee, traditionally one face-to-face planning meeting is held during the summer months. In addition, members of this committee are expected to attend both the Fall Training and the Spring Conference. The remainder of committee work is done via conference call and email. Consistent with the duties of their respective offices, the Chair and Co-Chair of this committee are expected to attend all KASFAA Board meetings. The Chair is expected to attend the annual budget planning meeting of the KASFAA Board.

## Corporate Support Committee

### Purpose

The Corporate Development Committee is responsible for soliciting sponsor support for all activities of the Association, including exhibits at the conferences. In addition, the committee will support Association initiatives, specifically working with the Conference Planning Committee on fundraising activities for the Spring Conference. The assignment of duties and responsibilities within this standing committee will be at the discretion of the chair and co-chair.

### Responsibilities

The primary responsibilities of this committee include:

- Support and promote good will between the Association and Associate Members.

- Solicit financial support for the KASFAA association in the form of corporate sponsorships and spring conference vendors.
- Work with Treasurer to confirm payments for support.
- Assist KASFAA conference planning committee in planning activities for fundraising events according to KASFAA Association Fund policies.
- Solicit feedback from membership as necessary regarding conference fundraising funds distribution, based on the needs of the membership and board direction.
- Provide to the Conference Planning Committee a list of all sponsors and exhibitors for publication in the conference program.
- Coordinate Corporate Support activities, such as slide show, vendor recognition, vendor engagement, etc.

### Composition

The Corporate Development committee consists of a chair, a co-chair, and a recommended minimum of 5 additional members taking care to contain at least two associate members. Committee members may be selected from the associate sector or institutional membership. Meetings are typically accomplished via email and conference call.

### Service Expectation

Committee leadership shall be for two years in duration – one year serving as co-chair of the committee and the second year as chair. All other members serve one year. The chair is expected to attend all meetings of the KASFAA Board of Directors as well as the Fall Training and annual Spring Conference business meetings.

## Electronic Resource Committee

### Purpose

The Electronic Resources Committee is responsible for managing the electronic communications for the Association and researching new electronic initiatives. The assignment of duties and responsibilities within this standing committee will be at the discretion of the chair and co-chair.

### Responsibilities

The primary responsibilities of this committee include:

- Maintain a flow of information to the KASFAA membership at large, pertaining to the development and use of new and existing technological resources
- Assist the Conference Planning Committee's electronic needs including procuring laptops, overhead data projectors and any related equipment and troubleshooting computer problems at the Conference.
- Monitors all website pages and links to ensure the information is current, working, relevant and appropriate.
- Recommends updates to respective officers, committee chairs and/or board of directors and assists in ensuring updates are posted correctly.

- Acts as liaison between KASFAA website provider and Board of Directors.

### Composition

The Electronic Resources committee consists of a chair, a co-chair, and a recommended minimum of 5 additional members. It is recommended that some members be from the schools in the locations where the Fall Training and annual conference events will be held.

### Service Expectation

Committee leadership shall be for two years in duration – one year serving as co-chair of the committee and the second year as chair. All other members serve one year. The chair is expected to attend all meetings of the KASFAA Board of Directors as well as the Fall Training and annual Spring Conference business meetings. Meetings are typically accomplished via email and conference call.

## Finance Committee

### Purpose

The Finance Committee is responsible for assisting the Treasurer. The committee chair is also responsible for securing the signed conflict of interest disclosure from each member of the Executive Board of Directors. The assignment of duties and responsibilities within this standing committee will be at the discretion of the chair and co-chair.

### Responsibilities

The primary responsibilities of this committee include:

- Review the Policies and Procedures Manual and the Treasurer’s Guide.
- Hold an organizational meeting to plan its strategy for fulfilling the purposes of the committee.
- Develop and manage a budget which stays within the limits approved by the Board, to support the activities of the committee.
- Review bank statements and bank account reconciliation monthly.
- Assist the KASFAA Treasurer with policy issues pertaining to accounting procedures, budget and financial review issues.
- Make recommendations to the Board regarding Association fiscal matters.
- Schedule, review and present to the Board the internal financial review, where appropriate.
- Secure the accounting firm that will conduct the external financial review.
- Write the external financial review response, when necessary, and submit for Board Approval and President’s signature.

### Composition

The Finance committee consists of a chair, a co-chair, and a recommended minimum of 3 additional members. Committee members may be selected from the associate sector or institutional membership. Meetings are typically accomplished via email and conference call.

### Service Expectation

Committee leadership shall be for two years in duration – one year serving as co-chair of the committee and the second year as chair. All other members serve one year. The chair is expected to attend all meetings of the KASFAA Board of Directors as well as the Fall Training and annual Spring Conference business meetings.

## Fiscal Officers Committee

### Purpose

The Fiscal Officers Committee is responsible for the flow of information to the membership at large regarding the fiscal responsibilities of the financial aid process. A member of this committee shall serve on the Conference Planning Committee. The assignment of duties and responsibilities within this standing committee will be at the discretion of the chair and co-chair.

### Responsibilities

The primary responsibilities of this committee include:

- Maintain a flow of information to the membership at large in dealing with the fiscal responsibilities of the financial aid process.
- Compile and maintain a directory of fiscal officers in the state? (Is this still necessary?)
- Share Perkins updates with the Association, as appropriate.
- Provide information and training to fiscal officers on all financial aid related topics as legislation and regulations change.
- Have representation on the Conference Planning Committee.

### Composition

The Fiscal Officers committee consists of a chair, a co-chair, and a recommended minimum of 2 additional members. Committee members may be selected from the associate sector or institutional membership. Meetings are typically accomplished via email and conference call.

### Service Expectation

Committee leadership shall be for two years in duration – one year serving as co-chair of the committee and the second year as chair. All other members serve one year. The chair is expected to attend all meetings of the KASFAA Board of Directors as well as the Fall Training and annual Spring Conference business meetings. The conference planning representative is also expected to attend and participate in all conference planning meetings as well as the fall training and annual spring conference.

## Government Relations Committee

### Purpose

In their role as a liaison for the Association with federal, state and local government, the Government Relations committee exists to review and communicate to the membership on legislative proposals affecting the membership and address appropriate issue resolutions which

affect the delivery of funds to students, or issues facing the Association. The assignment of duties and responsibilities within this standing committee will be at the discretion of the chair and co-chair.

### Responsibilities

The primary responsibilities of this committee include:

- Maintain ongoing contact with federal and state lawmakers regarding pending and current legislation which affects the Association so that new ideas, projects and problems with proposed legislation can be voiced.
- Evaluate pending and current legislation to predict the effect for students and educational institutions.
- Communicate appropriate information with Association members using the website, list-serve and appropriate social media outlets.
- Solicit feedback from the membership on legislative issues of concern and communicate that feedback to legislative leaders.
- Lead KASFAA efforts to celebrate Financial Aid Awareness month in February, coordinating actions such as having the month officially recognized by the Governor of Kansas.

### Composition

The Government Relations committee consists of a chair, a co-chair, and a recommended minimum of 5 additional members taking care to contain at least one member from each type of college in Kansas (private, public, community college and proprietary and vocational).

### Service Expectation

Committee leadership shall be for two years in duration – one year serving as co-chair of the committee and the second year as chair. All other members serve one year. The chair is expected to attend all meetings of the KASFAA Board of Directors as well as the Fall Training and annual Spring Conference business meetings. Additionally, members of this committee are requested to attend the signing of the Governor’s Proclamation of Financial Aid Month in February. As needed, some travel may be required to visit the home offices of Congressional leadership or the Kansas State Capitol. The remainder of the committee work is done via conference call.

## Membership Committee

### Purpose

The Membership Committee is responsible for soliciting institutional, associate, and ex-officio membership in the Association. The committee is responsible for providing initial KASFAAA Association information to new members. Additional duties include the publication and timely maintenance of the Association’s membership master list, coordination of the association’s mentor program and coordination of the first time attenders welcome reception at conferences.

### Responsibilities

The assignment of duties and responsibilities within this standing committee will be at the discretion of the Chair and the Co-Chair. The primary responsibilities of this committee include:

- Maintain the membership database ensuring that it includes years of service to KASFAA.
- Conduct annual membership renewal effort including following up with current members who fail to renew in a timely manner.
- Send new members an information packet informing them of the benefits of their membership and a basic orientation to the organization.
- Assist committees needing membership lists.
- Assign mentors as requested by KASFAA members.
- Regularly review membership application and supporting materials and present changes, if needed, to board for approval.
- Regularly review new member information packet and present changes, if needed, to board for approval.
- Distribute KASFAA pins to all new members in attendance at the fall training and spring conference.
- Assist with fall training and spring conference registration as appropriate.
- Coordinate a welcome event for all new members at the annual spring conference.

### Composition

The Membership committee consists of a chair, a co-chair and a minimum of five additional members representing each sector of association membership (associate member, community college, private 4-year, public 4-year, vocational, proprietary, etc.)

### Service Expectation

Committee leadership shall be for two years in duration – one year serving as co-chair of the committee and the second year as chair. All other members serve one year. The committee chair is expected to attend all meetings of the KASFAA Board of Directors as well as the fall training and annual spring conference business meetings. Some committee representation is necessary at the annual spring conference to implement the new member activity. The remainder of the committee work is done via conference call.

## Outreach Training Committee

### Purpose

The Outreach Training Committee is responsible for providing training and outreach to high school counselors, and other partners in student financial aid in order to support efforts for annual completion of the FAFSA. These efforts include train the trainer events and updating a constituent resource page(s) on the KASFAA website. The assignment of duties and responsibilities within this standing committee will be at the discretion of the Chair and the Co-Chair.

## Responsibilities

The primary responsibilities of this committee include:

- Provide training opportunities focused on FAFSA completion for high school guidance counselors and other entities sharing a common interest in FAFSA completion ensuring that KASFAA-sponsored events are not in conjunction with, or held on the same day or in the same venue as a college recruiting event.
- Regularly review and update online resources focused on high school outreach and FAFSA completion for relevancy and accuracy presenting changes, if needed to the board for approval.
- Market outreach efforts using social media, promotional materials shared with high school guidance counselors and other entities sharing a common interest in FAFSA completion.
- Review training, financial and corporate sponsorship policies as well as the training committee handbook.
- Review and adhere to all training venue contracts.
- Construct a budget with the resources approved by the Board.
- Develop, distribute and collect training registration materials and fees.
- Conduct on-site registration at training events (if applicable).
- Maintain, update and execute the training committee timeline.
- Assist with transition of new committee leadership at the end of the year.

## Composition

The training committee consists of a chair, a co-chair, and a recommended 10 additional members representing each type of college in Kansas (private, public, community college and proprietary and vocational). It is strongly recommended that at least one member of the committee should also reside or work near or in the towns where any training event other than the conference will be held.

## Service Expectation

Committee leadership shall be for two years in duration – one year serving as co-chair of the committee and the second year as chair. All other members serve one year. Members are expected to attend meetings called at the discretion of the committee chair to coordinate work. Traditionally there is one face-to-face planning meeting, typically held during the summer months. Remaining meetings are typically accomplished via conference call. The Chair of the committee is expected to attend KASFAA board meetings as well as the business meetings held at the fall training and spring conference.

# ADDENDUM 1

## Conflict-of-Interest Policy for the Kansas Association of Student Financial Aid Administrators (KASFAA)

### *Scope*

The following statement of policy applies to each member of the Board of Directors of KASFAA. Board of Directors members include Officer and Committee Chairs and will be referred to hereafter as the Board. In addition, it is intended to serve as guidance for all KASFAA members.

### *Fiduciary Responsibilities*

Board members, officers, and members of KASFAA serve the greater good of the organization and have a clear obligation to fulfill their responsibilities in a manner consistent with this fact. All decisions of the Board are to be made solely on the basis of a desire to advance the best interests of the organization. The integrity of KASFAA must be protected at all times.

Effective boards will include individuals who have relationships and affiliations that may raise questions about perceived conflicts of interest. Although many such potential conflicts are and will be deemed inconsequential, every Board member has the responsibility to ensure the entire Board is made aware of situations that involve personal, familial, or business relationships that could be troublesome. Thus, the Board requires each officer annually (a) to be familiar with the terms of this policy; (b) to disclose to the KASFAA President any possible personal, familial, or business relationships that reasonably might give rise to a conflict involving KASFAA; and (c) to acknowledge by his or her signature that he or she is in accordance with the letter and spirit of this policy.

### *Disclosure*

All Board members are requested to list on this form only those substantive relationships (a) they or members of their family maintain with organizations that do business with KASFAA, or (b) that potentially could be construed to affect their independent, unbiased judgment in light of their decision-making authority and responsibility.

If a member of the Board is uncertain whether to list a particular relationship, the President should be consulted. He/She may elect to seek the judgment of the other KASFAA officers before informing and consulting with the entire Board within an executive session (either in-person or via electronic means) If the Board determines that no potential conflict exists, an Exception will be granted. Information shared or gathered as a result of such consultations (including information provided on this form) shall be confidential except when the KASFAA's best interests would be served by disclosure. Such disclosure will be made only after informing those concerned.

The following definitions are provided to help the Board and officers decide whether a relationship should be listed on the disclosure:

- **Business Relationship:** One in which a Board member or a member of his or her family as defined below serves as an officer, director, employee, partner, Trustee, or controlling stockholder of an organization that does substantial business with KASFAA.
- **Family Member:** A spouse, parent, sibling, child, or any other relative if the latter resides in the same household as the Board member.
- **Substantial Benefit:** When a Board member or a member of his/her family (a) is the actual or beneficial owner of more than 5 percent of the voting stock or controlling interest of an organization that does substantial business with KASFAA or (b) benefits directly, indirectly, or potentially from cash or property receipts totaling \$10,000 (what does the Board consider substantial?) or more annually.



### *Restraint on Participation*

Board members who have declared a potential conflict of interest, or who have been found to have a potential conflict of interest, shall refrain from participating in consideration of proposed transactions unless the Board requests information or interpretation for special reasons. Should a potential conflict of interest matter require a Board vote to resolve, those concerned shall not be present at the time of the vote.

## ADDENDUM 2

### Archive Periodic Items

Item	Notes	Retention
Annual budgets		3
Bank statements & reconciliation		5
Check register/Disbursement reports	KASFAA & CGS	5
Conflict of Interest Statements		3
Contracts	Fulfilled or Cancelled	3
Deposit records	KASFAA & CGS	5
End of year Treasurer Report		10
External financial review		10
Federal tax returns	Fiscal Year	10
Insurance policy		5
Internal financial review		10
Investment statements		5
Not for Profit Corporate Annual Report	State of Kansas	10
Periodic Treasurer reports	BOD/Business Mtg	3
Policies and Procedures		5
Receipt book	With Treas. until completely used	5

## ADDENDUM 2

### Archive Periodic Items

<b>Purge Year Periodic Items*</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>2021</b>	<b>2022</b>	<b>2023</b>	<b>2024</b>	<b>2025</b>	<b>2026</b>
Annual budgets	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Bank statements & reconciliation	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Check register/Disbursement reports	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Conflict of Interest Statements	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Contracts	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Deposit records	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
End of year Treasurer Report	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
External financial review	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Federal tax returns	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Insurance policy (Ending year)	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Internal financial review	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Investment statements	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Not for Profit Corporate Annual Report	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
Periodic Treasurer reports	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Policies and Procedures	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
Receipt book	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021

\* Items are Fiscal Year ending unless otherwise indicated.

<b>Purge Year Treasurer Items*</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>2021</b>	<b>2022</b>	<b>2023</b>	<b>2024</b>	<b>2025</b>	<b>2026</b>
Signature cards	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024
Treasurer Guide	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
W-9's	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024

\* Items are Fiscal Year ending unless otherwise indicated.

## ADDENDUM 3

### Archive Permanent Items

Item	Notes	Retention
Award recipients	Website	Permanently
BOD meeting minutes	Website	Permanently
Business meeting minutes	Website	Permanently
By-Laws	Website	Permanently
Capitol Federal Passcards	Current BOD	Permanently
CD's		Permanently
Charter		Permanently
Conference programs		Permanently
Newsletters	Website	Permanently
Not-for-Profit/501(c)(3)		Permanently
Registered Agent	State of Kansas	Permanently
Photos	Name, event, date	Permanently
Tax ID number		Permanently

### Archive Treasurer Items

Items	Notes	Retention
Signature Cards		2
Treasurer Guide		3
W-9s		2

## ADDENDUM 4

### Archive Box Contents

Purge Year		
Item	Retention	Year
Annual budgets	3	2017
Bank statements & reconciliation	5	2015
CD Statements (Cal Yr)	5	2015
Check register/Disbursement reports	5	2015
Conflict of Interest Statements	3	2017
Contracts	3	2017
Deposit records	5	2015
End of year Treasurer Report	10	2010
External financial review	10	2010
Federal tax returns	10	2010
Insurance policy (Ending Yr)	5	2015
Internal financial review	10	2010
Investment statements	5	2015
Not for Profit Corporate Annual Report	10	2010
Periodic Treasurer reports	3	2017
Policies and Procedures	5	2015
Receipt book	5	2015

\*Items are Fiscal Year ending unless otherwise indicated.

## UPDATED:

February, 1997

April, 1998

April, 1999

April, 2000

April, 2002

April, 2004

April 2009

March 2011

June 2011

April 2013

April 2014

March 2015-New format and annual updates

April 2015-Hotel Contract table added P. 12

May 2016-annual updates and pg #s for Table of Contents

October 2016

February 2017

April 2017